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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney docket No. 99-1042	Total Pages 31
	First Named Inventor or Application Identifier	
	Albert E. Rickey	
	Express Mail Label No.	ET221866621US

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form <small>(Submit an original, and a duplicate for fee processing)</small>			
2. <input checked="" type="checkbox"/> Specification [Total Pages 17] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to Microfiche Appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>			
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 6]			
4. Oath of Declaration [Total Pages 2] <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))  <small>(for continuation/divisional with Box 17 completed)</small>  <small>[Note Box 5 below]</small> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> DELETION OF INVENTOR(S)            Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul>			
5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath of declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.			
6. <input type="checkbox"/> Microfiche Computer Program (Appendix)			
7. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Copy</li> <li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul>			
<b>ACCOMPANYING APPLICATION PARTS</b>			
8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))			
9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <small>(when there is an assignee)</small> <input type="checkbox"/> Power of Attorney			
10. <input type="checkbox"/> English Translation Document (if applicable)			
11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1448 <input type="checkbox"/> Copies of IDS Citations			
12. <input type="checkbox"/> Preliminary Amendment			
13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>			
14. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application, Statement(s) <input type="checkbox"/> Status still proper and desired			
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>			
16. <input checked="" type="checkbox"/> Other: Certificate of Express Mailing form PTO-1449 and cover letter			

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

Continuation  Reissue  Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

18. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label <small>(Insert Customer No. or Attach bar code label here)</small>		or <input checked="" type="checkbox"/> Correspondence address below			
<b>NAME</b>	Claudia Cameron				
<b>ADDRESS</b>	Phoenix Technologies Ltd.				
	411 East Plumeria Drive				
<b>CITY</b>	San Jose	<b>STATE</b>	CA	<b>ZIP CODE</b>	95134
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Albert E. Rickey et al. : Date: May 1, 2001  
Serial No. : Group Art Unit:  
Filed: : Examiner:  
For: Methods and Apparatus for Protecting Against : Batch No.:  
Viruses on Partitionable Media

**CERTIFICATE OF MAILING  
UNDER 37 CFR 1.10**

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

**Identification of Transmitted Papers**

Utility Patent Application Transmittal form, patent application comprising sixteen (16) pages plus a cover page, six (6) sheets of drawing, Combined Declaration and Power of Attorney form (unsigned), form PTO-1449 and cover letter, Fee Transmittal Letter in duplicate including authorization to charge deposit account in the amount of \$764.00, and return receipt postcard

**CERTIFICATION OF EXPRESS MAIL DEPOSIT**

"EXPRESS MAIL" MAILING LABEL NO. ET221866621US

DATE OF DEPOSIT -May 1, 2001

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I hereby certify that the above-identified correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, under 37 CFR 1.10, on the date indicated above and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Kenneth W. Float  
Reg. No. 29,233

The Law Offices of Kenneth W. Float  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FEE TRANSMITTAL LETTER

May 1, 2001

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application, including six (6) sheet(s) of drawing, of inventor(s): Albert E. Rickey et al.  
for: Methods and Apparatus for Protecting Against Viruses on Partitionable Media

Applicant is a small entity ; large entity

The filing fee for this application is calculated below:

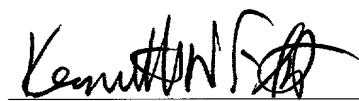
FOR:	CLAIMS AS FILED	RATE	TOTAL
Basic Fee		\$ 710.00	\$ 710.00
Total Claims	23 - 20 = 3 times	\$ 18.00	\$ 54.00
Independent Claims	2 - 3 = 0 times	\$ 80.00	\$ 0.00
Multiple Dependent Claims	0 times	\$ 270.00	\$ 0.00
<b>TOTAL FILING FEE</b>			<b>\$ 764.00</b>
Assignment Recording Fee	0 times	\$ 40.00	\$ 0.00
<b>TOTAL FEES</b>			<b>\$ 764.00</b>

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  
Deposit Account No. 161520

Deposit Account Name: Phoenix Technologies, Ltd.

This form is submitted in duplicate

Respectfully submitted



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